

# Fundamentals of Media Compression

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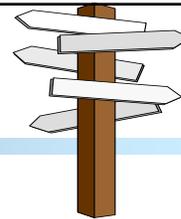
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## Road Map



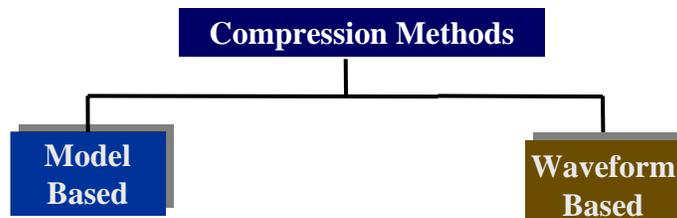
- 
- Fundamentals of Compression**
    - **Basic Algorithms**
      - **Shannon-Fano**
      - **Huffman**
      - **Arithmetic**
      - **Dictionary Based**
    - **Decorrelation Transforms**



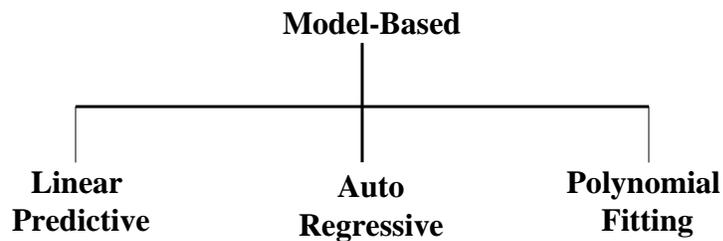
## Basics of Compression

Compression reduces signal size by taking advantage of correlation

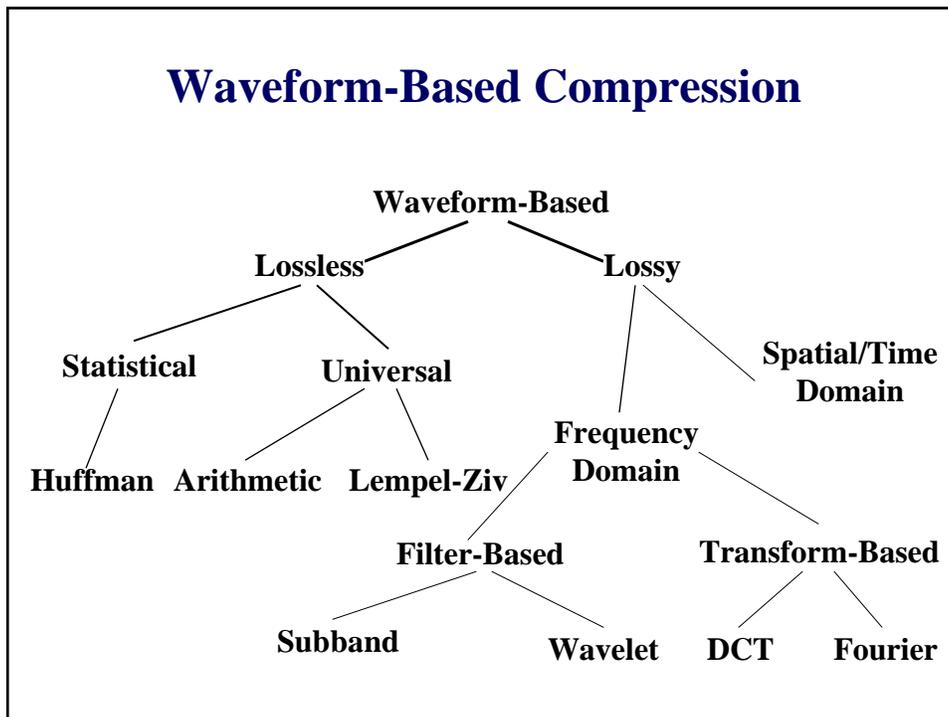
- Spatial
- Temporal
- Spectral



## Model-Based Compression



## Waveform-Based Compression



## Compression Features

### Lossless compression

- Coding Efficiency
  - Compression ratio
- Coder Complexity
  - Memory needs
  - Power needs
  - Operations per second
- Coding Delay
- **Scalability**

## Compression: Theoretical Background

Run-length encoding

Average Information Entropy

For source  $S$  generating symbols  $S_1$  through  $S_N$

- Self entropy:  $I(s_i) = \log \frac{1}{p_i} = -\log p_i$
- Entropy of source  $S$ :  $H(S) = \sum_i p_i \log_2 p_i$
- Average number of bits to encode  $\leq H(S)$  - Shannon

Differential Encoding: to improve the probability distribution of symbols

## Information Theory

Shannon defined the **entropy** of an information source  $S$  as:

$$H(S) = \sum_i (p_i \log_2 (1/p_i))$$

- $\log_2 (1/p_i)$  indicates the amount of information contained in  $S_i$ , i.e., the number of bits needed to code  $S_i$ .
- For example, in an image with uniform distribution of gray-level intensity, i.e.  $p_i = 1/256$ , the number of bits needed to code each gray level is 8 bits. The entropy of this image is 8.



## Information Theory (II)

- Entropy is a measure of how much information is encoded in a message. The higher the entropy, the higher the information content.
- The unit of entropy is *bits per symbol*.
- Entropy gives the actual number of bits of info. contained in a message.
- If the probability of the character 'e' appearing in this slide is 1/16, for example. The information content of the character is 4 bits. So, the character string "eeee" has a total content of 20 bits (contrast this to 8-bit ASCII coding that could result in 40 bits).



## Data Compression (I)

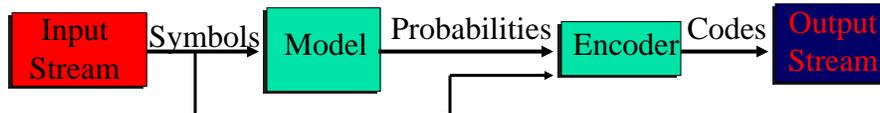
Data Compression consists of taking a stream of symbols and transforming them into codes.

- The decision to output a certain code for a certain symbol (or set of symbols) is *based* on the *model*.
- A coder uses a model to spit out codes when its given input symbols



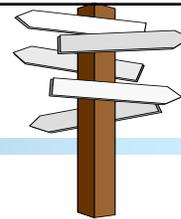
## Data Compression (II)

Let's take Huffman coding to demonstrate the distinction:



- The output of the encoder is determined by the probabilities fed by the Model. *Higher prob. shorter code.*
- Model A could determine raw prob. of each symbol occurring anywhere in the i/p stream. ( $p_i = \# \text{ of occurrences of } S_i / \text{Total number of Symbols}$ )
- Model B could determine prob. based on the last 10 symbols in the i/p stream. (*continuously re-computes the probabilities*)

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## The Shannon-Fano Algorithm

- r Calculate the frequencies of the list of symbols (organize as a list).
- r Sort the list in (decreasing) order of frequencies.
- r Divide list into two halves, with the total freq. Counts of each half being as close as possible to the other.
- r The upper half is assigned a code of 0 and lower a code of 1.
- r Recursively apply steps 3 and 4 to each of the halves, until each symbol has become a corresponding code leaf on a tree.

## Huffman Encoding (I)

Let an alphabet have  $N$  symbols  $S_1 \dots S_N$

Let  $p_i$  be the probability of occurrence of  $S_i$

Order the symbols by their probabilities

$$p_1 \geq p_2 \geq p_3 \geq \dots \geq p_N$$

Replace symbols  $S_{N-1}$  and  $S_N$  by a new symbol  $H_{N-1}$

such that it has the probability  $p_{N-1} + p_N$

Repeat until there is only one symbol

This generates a binary tree

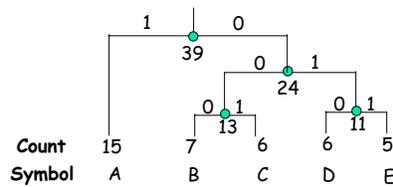


## Huffman Encoding (II)

Initialization: Put all nodes in an OPEN list  $L$ , keep it sorted at all times (e.g., ABCDE).

Repeat the following steps until the list  $L$  has only one node left:

1. From  $L$  pick two nodes having the lowest frequencies, create a parent node of them.
2. Assign the sum of the children's frequencies to the parent node and insert it into OPEN.
3. Assign code 0, 1 to the two branches of the tree, and delete the children from OPEN.



## Huffman Encoding (II)

Symbol	Count	Info. $-\log_2(p_i)$	Code	Subtotal# of Bits
A	15	1.38	1	15
B	7	2.48	000	21
C	6	2.70	001	18
D	6	2.70	010	18
E	5	2.96	011	15

x

85.25

87

## Properties of Huffman Codes

Fixed-length inputs become variable-length outputs

Average codeword length:  $\sum l_i p_i$

Upper bound of average length:  $H(S) + p_{max}$

Code construction complexity:  $O(N \log N)$

Prefix-condition code: no codeword is a prefix for another

- Makes the code uniquely decodable



## Huffman Decoding (I)

### Look-up table-based decoding

Create a look-up table

- Let  $L$  be the longest codeword
- Let  $c_i$  be the codeword corresponding to symbol  $s_i$
- If  $c_i$  has  $l_i$  bits, make an  $L$ -bit address such that the first  $l_i$  bits are  $c_i$  and the rest can be any combination of 0s and 1s.
- Make  $2^{(L-l_i)}$  such addresses for each symbol
- At each entry, record,  $(s_i, l_i)$  pairs



## Huffman Decoding (II)

### Decoding

- Read  $L$  bits in a buffer
- Get symbol  $s_k$ , that has a length of  $l_k$
- Discard  $l_k$  bits and fill up the  $L$ -bit buffer



## Arithmetic Coding (I)

Arithmetic coding is based on the concept of *interval subdividing*.

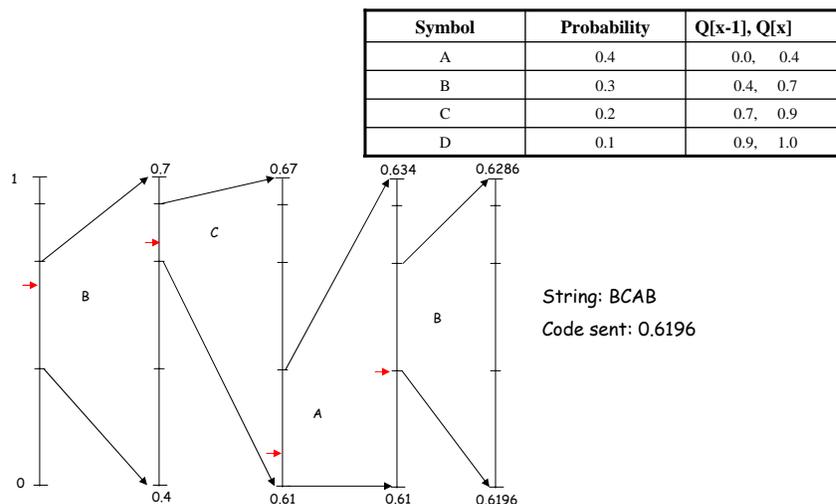
- In arithmetic coding a source ensemble is represented by an interval between 0 and 1 on the real number line.
- Each symbol of the ensemble narrows this interval.
- As the interval becomes smaller, the number of bits needed to specify it grows.
- Arithmetic coding assumes an explicit probabilistic model of the source.
- It uses the probabilities of the source messages to successively narrow the interval used to represent the ensemble. A high probability message narrows the interval less than a low probability message, so that high probability messages contribute fewer bits to the coded ensemble

## Arithmetic Coding (II)

- Now we begin with the interval  $[0,1)$  and subdivide the interval iteratively.
- For each symbol entered, the current interval is divided according to the probabilities of the alphabet.
- The interval corresponding to the symbol is picked as the interval to be further processed.
- The procedure continues until all symbols in the message have been processed.
- Since each symbol's interval does not overlap with others, for each possible message there is a unique interval assigned.
- We can represent the message with the interval's two ends  $[L,H)$ . In fact taking any single value in the interval as the encoded code is enough, and usually the left end  $L$  is selected.

## Arithmetic Coding (III)

When decoding the code  $v$  is placed on the current code interval to find the symbol  $x$  so that  $Q[x-1] \leq \text{code} < Q[x]$ . The procedure iterates until all symbols are decoded.



## Dictionary-Based Compression (I)

The compression algorithms we studied so far use a statistical model to encode single symbols

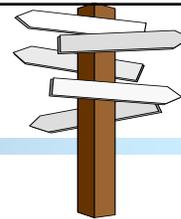
- Compression: Encode symbols into bit strings that use fewer bits.

Dictionary-based algorithms do not encode single symbols as variable-length bit strings; they encode variable-length strings of symbols as single tokens

- The tokens form an index into a phrase dictionary
- If the tokens are smaller than the phrases they replace, compression occurs.



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## Fourier Approximation

In mathematical notation what Fourier proved was that for any continuous function  $f$  the following relation is satisfied:

$$\begin{aligned} f(x) &= \sum_{k=0}^{\infty} a_k \cos\left(\frac{2\pi kx}{L}\right) + b_k \sin\left(\frac{2\pi kx}{L}\right) \\ &= a_0 + \sum_{k=1}^{\infty} a_k \cos\left(\frac{2\pi kx}{L}\right) + b_k \sin\left(\frac{2\pi kx}{L}\right) \end{aligned}$$

## Discrete Cosine Transform (DCT)

DCT is a mathematical process related to Fourier Transform. It changes spatial intensity values to spatial frequency values.

- roughly arranges values from lowest frequency to highest frequency:
  - lowest frequencies represent coarse details
  - highest frequencies represent fine detail
  - some high frequency parts can be dropped!

DCT exploits features of the human eye:

- the eye is unable to perceive brightness levels above or below certain thresholds
- gentle gradations of brightness of colour are more important to the eye than abrupt changes.



## Discrete Cosine Transform

The one-dimensional transform is defined by:

$$t(l) = c(l) \sum_{k=0}^{N-1} I(k) \cos\left(\frac{\pi(2k+1)l}{2N}\right)$$

where  $I$  is the array of  $N$  original values (the luminance values of the pixel matrix),  $t$  is the array of  $N$  transformed values (the frequency coefficients), and the  $c$  is the scaling factor for the coefficients given by

$$c(0) = \sqrt{1/N} \quad c(l) = \sqrt{2/N}$$



## Discrete Cosine Transform

- The DCT is separable: in order to estimate the DCT coefficients of a two-dimensional signal for an image  $I(x, y)$ , the one-dimensional transform can be performed twice: once for the rows, the  $y$ -axis, and once for the columns, the  $x$ -axis, of the image.
- The DCT is invertible: therefore it allows us to move back and forth between spatial and frequency domains

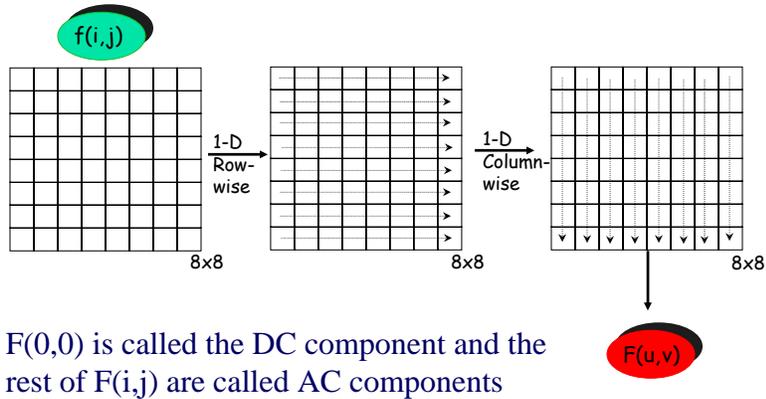
The one-dimensional inverse DCT is given by:

$$I(k) = \sum_{l=0}^{N-1} c(l)t(l) \cos\left(\frac{\pi(2k+1)l}{2N}\right)$$



## Computing a 2D DCT (for Images)

Two series of 1-D transforms result in a 2-D transform as demonstrated in the figure below



$F(0,0)$  is called the DC component and the rest of  $F(i,j)$  are called AC components